

## **New York City Municipal Water Finance Authority**

### **Senior Managers**

Barclays Capital  
Ramirez & Co., Inc.  
Citigroup Global Markets Inc.  
Raymond James/Morgan Keegan

### **Senior Co-Managers**

Bank of America Merrill Lynch  
Fidelity Capital Markets  
Goldman, Sachs & Co.  
J.P. Morgan Securities LLC  
Jefferies & Co. Inc.  
Loop Capital Markets LLC  
Morgan Stanley  
RBC Capital Markets, LLC  
Rice Financial Products Company  
Roosevelt & Cross Incorporated  
Siebert Brandford Shank & Co. LLC  
TD Securities  
U.S. Bancorp  
Wells Fargo Securities, LLC

### **Co-Managers**

BMO Capital Markets  
Cabrera Capital Markets, LLC  
Castle Oak Securities, LP  
Lebenthal & Co., LLC  
The Northern Trust Company  
Williams Capital Group, LP

### **Selling Group**

Academy Securities, Inc.  
Advisors Asset Management, Inc  
Alamo Capital  
Backstrom McCarley Berry & Co., LLC  
BB&T Securities, LLC  
Beech Hill Securities, Inc  
Blaylock Beal Van, LLC  
BNY Mellon Capital Markets, LLC  
Celadon Financial Group, LLC  
Chester Harris & Company, Incorporated  
Deutsche Bank Securities Inc.  
Douglas & Co. Municipals, Inc.  
Duncan Williams, Inc.  
Edward Jones  
Emmet & Co. Inc.  
Estrada Hinojosa & Company, Inc.  
FTN Capital Markets  
First Southwest Company  
Gates Capital Corporation  
George K. Baum & Company  
Great Pacific Securities  
Guzman & Company  
Hapoalim Securities USA, LLC

Hennion & Walsh, Inc  
Herbert J. Sims & Co., Inc.  
HSBC Securities (USA) Inc.  
J.J.B. Hilliard, W.L. Lyons, LLC  
Janney Montgomery Scott LLC  
Jeffrey Matthews Financial Group, LLC.  
KeyBanc Capital Markets Inc.  
M&T Securities, Inc.  
Maxim Group LLC  
Mesirow Financial, Inc.  
Mischler Financial Group, Inc.  
NW Capital Markets Inc.  
Oppenheimer & Co., Inc.  
Piper Jaffray & Co.  
PNC Capital Markets LLC  
Prager & Co., LLC  
R. Seelaus & Co., Inc.  
Robert W. Baird & Co. Incorporated  
Rockfleet Financial Services Inc.  
Southwest Securities, Inc.  
Stern Brothers & Company  
Stifel, Nicolaus & Company, Incorporated  
The GMS Group, LLC  
Toussaint Capital Partners, LLC  
William Blair & Company L.L.C.  
World First Financial Services, L.P.